

## **STOCK EXCHANGE OF INDIA AND WORLD**

1. Which of these is the regulatory body for the capital markets in India?
  - a. National Bank for Agriculture and Rural Development (NABARD)
  - b. Securities and Exchange Board of India (SEBI)
  - c. Insurance Regulatory and Development Authority (IRDA)
  - d. Reserve Bank of India (RBI)
2. How many companies are a part of Sensex (Stock Exchange Sensitive Index)?
  - a. 20
  - b. 30
  - c. 50
  - d. 100
3. Which of the following terms is not related to a stock exchange?
  - a. Knowledge Process Outsourcing (KPO)
  - b. Net Asset Value (NAV)
  - c. Initial Public Offering (IPO)
  - d. National Stock Exchange (NSE)
4. When was NIFTY (National Stock Exchange Fifty) established?
  - a. 1992
  - b. 1998
  - c. 1996
  - d. 1994
5. The first computerised stock exchange in India was \_\_\_\_\_.
  - a. Bombay Stock Exchange (BSE)
  - b. Multi Commodity Exchange (MCX)
  - c. National Stock Exchange (NSE)
  - d. Over-the-Counter Exchange of India (OCTEI)
6. Which of the following options is not available in India?
  - a. Commodity futures
  - b. Index options
  - c. Index futures
  - d. Commodity options
7. The leading suppliers of trading instruments in capital markets are \_\_\_\_\_.
  - a. Private corporations
  - b. Government corporations
  - c. Manufacturing corporations
  - d. None of the above
8. The Securities and Exchange Board of India (SEBI) is not responsible for \_\_\_\_\_.
  - a. Ensuring fair practices by companies
  - b. Investor protection

- c. Improving the earnings of shareholders
  - d. Promoting efficient services by brokers
9. The markets where securities instruments are traded directly between buyer and seller are known as \_\_\_\_\_.  
a. Secondary markets  
b. Primary markets  
c. Tertiary markets  
d. None of the above
10. In which year did the Sensex cross the 5000 point mark for the first time?  
a. 1991  
b. 2002  
c. 1999  
d. 1996
11. The headquarters of the National Stock Exchange is situated in \_\_\_\_\_.  
a. Mumbai  
b. Kolkata  
c. Chennai  
d. Delhi
12. Which of these trading individuals have a license from the Securities Exchange Board of India (SEBI) to operate in commodity derivative and equity markets?  
a. Brokers  
b. Clearing members  
c. Non Banking Financial Company (NBFC)  
d. Both a and b
13. Which of the following statements is incorrect about the Securities Exchange Board of India (SEBI)?  
a. It is a statutory body  
b. It was given statutory powers by an ordinance in 1992  
c. It is a non-statutory body  
d. None of the above
14. Which of the following might be a reason for a stock market to lose value suddenly?  
a. A terrorist attack  
b. The bankruptcy of a big company  
c. Fear of a global recession  
d. All of the above
15. Over the life of a derivative contract, the value of the derivative \_\_\_\_\_.  
a. Increases  
b. Decreases  
c. fluctuates with the price of the so-called “underlying” value of the contract  
d. None of the above

## **Answers**

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|--------|---------|---------|---------|---------|---------|---------|--------|
| 1. (b) | 2. (b)  | 3. (a)  | 4. (c)  | 5. (c)  | 6. (d)  | 7. (b)  | 8. (c) |
| 9. (b) | 10. (c) | 11. (a) | 12. (d) | 13. (c) | 14. (d) | 15. (c) |        |